



SONATA SOFTWARE LIMITED



11<sup>th</sup> October, 2017

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [neaps@nse.co.in](mailto:neaps@nse.co.in)  
Stock Code - SONATSOFTW

BSE Limited  
P.J. Towers, Dalal Street  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [listing.centre@bseindia.com](mailto:listing.centre@bseindia.com)  
Stock Code - 532221

Dear Sir/Madam,

**SUB: SHAREHOLDING PATTERN**  
**REF: REGULATION 31 (1) (b) OF THE SEBI (LODR) REGULATIONS, 2015**

With reference to the above, please find enclosed shareholding pattern as on 30<sup>th</sup> September, 2017 in the format specified by you.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully  
For **Sonata Software Limited**

  
 **Kundan K. Lal**  
Company Secretary and Head of Legal

Format of Holding of Specified securities

1. Name of Listed Entity: SONATA SOFTWARE LTD
2. Scrip Code/Name of Scrip/Class of Security: 532221, SONATSOFTW, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2017
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a. Whether the Listed Entity has issued any partly paid up shares		NO
b. Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c. Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d. Whether the Listed Entity has any shares in locked-in?		NO
e. Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:



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Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	As a % of total	Number of equity shares held in dematerialized form
								Class X	Class Y	Total						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)	
	Promoter & Promoter Group	12	32550161	0	0	32550161	30.95	32550161	0	30.95	0	0.00	375000	1.15	32550161	
(A)	Public	43780	71199270	0	0	71199270	67.71	71199270	0	67.71	0	0.00	NA	NA	69869476	
(B)	Non Promoter-Non Public	1	1409875	0	0	1409875	1.34	1409875	0	1.34	0	0.00	NA	NA	1409875	
(C)	Shares underlying DRs	0	0	0	0	0	NA	0	0	NA	0	0.00	NA	NA	0	
(C1)	Shares held by Employees	1	1409875	0	0	1409875	1.34	1409875	0	1.34	0	0.00	NA	NA	1409875	
(C2)	Trusts	1	1409875	0	0	1409875	1.34	1409875	0	1.34	0	0.00	NA	NA	1409875	
	Total:	43793	105159306	0	0	105159306	100.00	105159306	0	100.00	0	0.00	375000	1.15	103829512	



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholder #	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	Number of Voting Rights held in each class of securities			Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2))	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities as a percentage of diluted Share capital (VII)+(X) As a % of (A+B+C2)	Number of Shares pledged or otherwise encumbered	As a % of total Shares held	Number of equity shares held in dematerialized form																
												Class X	Class Y	Total (IX)																						
(1)	Indian Individuals/Hindu undivided Family		7	29452210	0	29452210	28.01	0	29452210	28.01	0	29452210	28.01	0	0	28.01	0	0.00	0	29452210																
																					BEJA M DALAL	1	223500	0	223500	0.21	0	223500	0.21	0	0.00	0	0.00	223500		
																					MUKUND DHARAVIDAS DALAL	1	1036260	0	1036260	0.99	0	1036260	0.99	0	0.00	0	0.00	1036260		
																					SUMAN R RAHEJA	1	6900000	0	6900000	6.56	0	6900000	6.56	0	0.00	0	0.00	6900000		
																					SHYAM BHUPATIRAI GHIA	1	5000	0	5000	0.00	0	5000	0.00	0	0.00	0	0.00	5000		
																					RAJAN B RAHEJA	1	4787450	0	4787450	4.55	0	4787450	4.55	0	0.00	0	0.00	4787450		
																					AKSHAY RAJAN RAHEJA	1	8250000	0	8250000	7.85	0	8250000	7.85	0	0.00	0	0.00	8250000		
																					VIREN RAJAN RAHEJA	1	8250000	0	8250000	7.85	0	8250000	7.85	0	0.00	0	0.00	8250000		
																					Central Government/State Government(s)	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
																					Financial Institutions/Banks	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
																					Any Other	5	3097951	0	3097951	2.95	0	3097951	2.95	0	0.00	0	0.00	3097951		
																					(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals Government Institutions Foreign Portfolio Investor Any Other Sub-Total (A1)(1))		12	1430000	0	1430000	0.14	0	1430000	0.14	0	1430000	0.14	0	0
BHUPATI INVESTMENTS AND FINANCE PVT LTD	1	1561951	0	1561951	1.49	0	1561951	1.49	0	0.00	0	0.00	1561951																							
EXCELSIOR CONSTRUCTION COMPANY PRIVATE LIMITED	1	1150000	0	1150000	1.09	0	1150000	1.09	0	0.00	0	0.00	1150000																							
DALTREYA INVESTMENT & FINANCE PRIVATE LTD	1	1000000	0	1000000	0.10	0	1000000	0.10	0	0.00	0	0.00	1000000																							
GSTAAD INVESTMENT & FINANCE PRIVATE LIMITED	1	1430000	0	1430000	0.14	0	1430000	0.14	0	0.00	0	0.00	1430000																							
TROPHY INVESTMENT & FINANCE PRIVATE LIMITED	1	1430000	0	1430000	0.14	0	1430000	0.14	0	0.00	0	0.00	1430000																							
Sub-Total (A1)(1)	12	32550161	0	32550161	30.95	0	32550161	30.95	0	0.00	0	0.00	32550161																							
Foreign Individuals (Non-Resident Individuals/Foreign Individuals Government Institutions Foreign Portfolio Investor Any Other Sub-Total (A1)(2))	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0																					
Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)	12	32550161	0	32550161	30.95	0	32550161	30.95	0	0.00	0	0.00	32550161																							



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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked In Shares	Number of Shares pledged or otherwise encumbered	As a % of total	Number of equity shares held in dematerialized form
									Class X	Class Y	Total							
(L)	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)				
(a)	Institutions Mutual Funds		8	2127157	0	0	2127157	2.02	2127157	0	2127157	2.02	0	0.00	NA	NA	2127157	
(b)	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED A/CBIRLA SUN LIFE DIVIDEND YIELD PLUS	AAATB0102C	6	1386000	0	0	1386000	1.32	1386000	0	1386000	1.32	0	0.00	NA	NA	1386000	
(c)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(d)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(e)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(f)	Orange Portfolio Investors		47	12159152	0	0	12159152	11.56	12159152	0	12159152	11.56	0	0.00	NA	NA	12159152	
(g)	ORANGE MAURITIUS INVESTMENTS LIMITED	AABCO0594R	1	1844171	0	0	1844171	1.75	1844171	0	1844171	1.75	0	0.00	NA	NA	1844171	
(h)	CREDIT SUISSE (SINGAPORE) LIMITED	AAACC2328N	1	1183049	0	0	1183049	1.13	1183049	0	1183049	1.13	0	0.00	NA	NA	1183049	
(i)	GOLDMAN SACHS INDIA LIMITED	AADC02800C	1	3809571	0	0	3809571	3.62	3809571	0	3809571	3.62	0	0.00	NA	NA	3809571	
(j)	Financial Institutions/Banks		4	80177	0	0	80177	0.08	80177	0	80177	0.08	0	0.00	NA	NA	80177	
(k)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(l)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(m)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(n)	Sub Total (B)(1)		59	14366486	0	0	14366486	13.66	14366486	0	14366486	13.66	0	0.00	NA	NA	14366486	
(o)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(p)	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(q)	Non-Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(r)	Individual shareholders holding nominal share capital up to Rs. 2 Lakhs		41226	27276138	0	0	27276138	25.94	27276138	0	27276138	25.94	0	0.00	NA	NA	25962344	
(s)	Individual shareholders holding nominal share capital In excess of Rs. 2 Lakhs		13	16338907	0	0	16338907	15.54	16338907	0	16338907	15.54	0	0.00	NA	NA	16338907	
(t)	HEMENDRA M KOTHARI	ABOPK8771F	1	10660026	0	0	10660026	10.14	10660026	0	10660026	10.14	0	0.00	NA	NA	10660026	
(u)	NBFCs Registered with RBI		11	41596	0	0	41596	0.04	41596	0	41596	0.04	0	0.00	NA	NA	41596	
(v)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(w)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(x)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(y)	TRUSTS		3	2500	0	0	2500	0.00	2500	0	2500	0.00	0	0.00	NA	NA	2500	
(z)	NON RESIDENT INDIANS		624	642233	0	0	642233	0.61	642233	0	642233	0.61	0	0.00	NA	NA	642233	
(aa)	CLEARING MEMBERS		71	100215	0	0	100215	0.10	100215	0	100215	0.10	0	0.00	NA	NA	100215	
(ab)	DIRECTORS		2	1440228	0	0	1440228	1.37	1440228	0	1440228	1.37	0	0.00	NA	NA	1440228	
(ac)	SHIVAR PALEM REDDY	AAJPR9128H	1	1390228	0	0	1390228	1.32	1390228	0	1390228	1.32	0	0.00	NA	NA	1390228	
(ad)	NON RESIDENT INDIAN NON REPATRIABLE		242	534642	0	0	534642	0.51	534642	0	534642	0.51	0	0.00	NA	NA	534642	
(ae)	BODIES CORPORATES		629	8593046	0	0	8593046	8.17	8593046	0	8593046	8.17	0	0.00	NA	NA	8577046	
(af)	H U F		899	1862279	0	0	1862279	1.77	1862279	0	1862279	1.77	0	0.00	NA	NA	1862279	
(ag)	FOREIGN NATIONALS		1	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	NA	NA	1000	
(ah)	Sub Total (B)(3)		43721	56832784	0	0	56832784	54.04	56832784	0	56832784	54.04	0	0.00	NA	NA	55502990	
(ai)	Total Public Shareholding (B)(1)+(B)(2)+(B)(3)		43780	71199270	0	0	71199270	67.71	71199270	0	71199270	67.71	0	0.00	NA	NA	69869476	



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Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Share holders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
									Class X	Class Y	Total		No.	As a % of total Shares held		
(1)	Custodian/DR Holder Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	0
(2)	SANKARAN RAMACHANDRAN	AABTS3528E	1	1409875	0	0	1409875	1.34	1409875	0	1409875	1.34	0	0.00	NA	1409875
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C1)+(C)(2)</b>		<b>1</b>	<b>1409875</b>	<b>0</b>	<b>0</b>	<b>1409875</b>	<b>1.34</b>	<b>1409875</b>	<b>0</b>	<b>1409875</b>	<b>1.34</b>	<b>0</b>	<b>0.00</b>		<b>1409875</b>



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Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
<b>Total:</b>		<b>0</b>	<b>0</b>

*[Handwritten signature]*



Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	No of shares
No of Shareholders	

*RF*

*[Signature]*

