



SONATA SOFTWARE LIMITED



**CMMIDEV/5<sup>SM</sup>**  
Exp. 2017-04-16 / Appraisal #22067

13<sup>th</sup> July, 2018

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [neaps@nse.co.in](mailto:neaps@nse.co.in)  
Stock Code - SONATSOFTW

BSE Limited  
P.J. Towers, Dalal Street  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [listing.centre@bseindia.com](mailto:listing.centre@bseindia.com)  
Stock Code - 532221

Dear Sir/Madam,

**SUB: SHAREHOLDING PATTERN**

**REF: REGULATION 31 (1) (b) OF THE SEBI (LODR) REGULATIONS, 2015**

With reference to the above, please find enclosed shareholding pattern as on 30<sup>th</sup> June, 2018 in the format specified by you.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully

For **Sonata Software Limited**

**Kundan K. Lal**

**Company Secretary and Compliance Officer**

**Format of Holding of Specified securities**

1. Name of Listed Entity: SONATA SOFTWARE LTD
2. Scrip Code/Name of Scrip/Class of Security: 532221, SONATSOFTW, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending 30/06/2018
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars		YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?		NO
e	Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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- 5 The tabular format for disclosure of holding of specified securities is as follows:



Table 1 - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shares	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	No of Voting Rights held in each class of securities		Total as a % of (A+B+C)	No of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of Shares held in dematerialized form		
								Class X	Class Y Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)				
(A)	Promoter & Promoter Group	12	32550161	0	0	32550161	30.95	0	0	30.95	0	30.95	0	0.00	375000	1.15	32550161
(B)	Public	38172	71259270	0	0	71259270	67.76	0	0	67.76	0	67.76	0	0.00	NA	70160112	71259270
(C)	Non Promoter-Non Public	1	1349875	0	0	1349875	1.28	0	0	1.28	0	1.28	0	0.00	NA	1349875	1349875
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0.00	0	NA	0	0.00	NA	0	0
(C2)	Shares held by Employees Trusts	1	1349875	0	0	1349875	1.28	0	0	1.28	0	1.28	0	0.00	NA	1349875	1349875
<b>Total:</b>		<b>38185</b>	<b>105159306</b>	<b>0</b>	<b>0</b>	<b>105159306</b>	<b>100</b>	<b>0</b>	<b>105159306</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>0</b>	<b>375000</b>	<b>1.15</b>	<b>104060148</b>	



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Table II - Statements showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No. of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class			Total as a % of (A+B+C)	No of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares	No. of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
									Class X	Class Y	Total (IX)							
(1)	Indian	(iii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	Class X	Class Y	Total (ix)	(x)	(xi)	No. of Shares held	(xiii)	(xiv)		
(a)	Individuals/Hindu undivided Family		7	29452210	0	0	29452210	28.01	29452210	0	29452210	28.01	0	0	0	0	0	29452210
	BELAM DALAL	AAAPD8329F	1	223500	0	0	223500	0.21	223500	0	223500	0.21	0	0	0	0	0	223500
	MUKUND DHARAMDAS DALAL	AAAPD8390Q	1	1036260	0	0	1036260	0.99	1036260	0	1036260	0.99	0	0	0	0	0	1036260
	SUMAN R RAHEJA	AAABRI1397L	1	6900000	0	0	6900000	6.56	6900000	0	6900000	6.56	0	0	0	0	0	6900000
	SHYAM BHUPATIRAI GHIA	AAAPG6187A	1	5000	0	0	5000	0.00	5000	0	5000	0.00	0	0	0	0	0	5000
	RAJAN B RAHEJA	AANPRO573J	1	4787450	0	0	4787450	4.55	4787450	0	4787450	4.55	0	0	0	0	0	4787450
	AKSHAY RAJAN RAHEJA	ADNPR1084R	1	8250000	0	0	8250000	7.85	8250000	0	8250000	7.85	0	0	0	0	0	8250000
	VIREN RAJAN RAHEJA	AEVPR7893D	1	8250000	0	0	8250000	7.85	8250000	0	8250000	7.85	0	0	0	0	0	8250000
(b)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(d)	Any Other		5	3097951	0	0	3097951	2.95	3097951	0	3097951	2.95	0	0	0	0	0	3097951
	BHUPATI INVESTMENTS AND FINANCE PRIVATE LIMITED	AAACB0441P	1	1561951	0	0	1561951	1.49	1561951	0	1561951	1.49	0	0	0	0	0	1561951
	EXCELSIOR CONSTRUCTION COMPANY PRIVATE LIMITED	AAACE9009Q	1	1150000	0	0	1150000	1.09	1150000	0	1150000	1.09	0	0	0	0	0	1150000
	DALTREYA INVESTMENT & FINANCE PRIVATE LTD	AABCD2269C	1	100000	0	0	100000	0.10	100000	0	100000	0.10	0	0	0	0	0	100000
	GSTAAD INVESTMENT & FINANCE PRIVATE LIMITED	AABG64641D	1	143000	0	0	143000	0.14	143000	0	143000	0.14	0	0	0	0	0	143000
	TROPHY INVESTMENT & FINANCE PRIVATE LIMITED	AABCT4521F	1	143000	0	0	143000	0.14	143000	0	143000	0.14	0	0	0	0	0	143000
(2)	Sub-Total (A)(1)		12	32550161	0	0	32550161	30.95	32550161	0	32550161	30.95	0	0	0	0	0	32550161
	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group		12	32550161	0	0	32550161	30.95	32550161	0	32550161	30.95	0	0	0	0	0	32550161



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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares held (IV+V+VI)	Shareholding as a % of total equity shares (A+B+C2)	No of Voting Rights		Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In Shares	As a % of total Shares held	Number of Shares pledged or otherwise encumbered	As a % of total Shares held	Number of Shares held in dematerialized form
									Class X	Class Total								
(1)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	Class X	Class Total	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	
(1)	Institutions																	
(a)	Mutual Funds		5	5190195	0	0	5190195	4.94	0	0	4.94	0	0.00	0	0.00	NA	NA	5190195
	HDFC TRUSTEE COMPANY LTD. A/C HDFC MULTI-ASSET FUND	AAATH1809A	1	4035157	0	0	4035157	3.84	0	0	3.84	0	0.00	0	0.00	NA	NA	4035157
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		107	16762704	0	0	16762704	15.94	0	0	15.94	0	0.00	0	0.00	NA	NA	16762704
	ORANGE MAURITIUS INVESTMENTS LIMITED	AABCO0594R	1	1844171	0	0	1844171	1.75	0	0	1.75	0	0.00	0	0.00	NA	NA	1844171
	CREDIT SUISSE (SINGAPORE) LIMITED	AACCC0328N	1	1183049	0	0	1183049	1.13	0	0	1.13	0	0.00	0	0.00	NA	NA	1183049
	GOLDMAN SACHS INDIA LIMITED	AADC00260C	1	3467485	0	0	3467485	3.30	0	0	3.30	0	0.00	0	0.00	NA	NA	3467485
(f)	Financial Institutions/Banks		2	67228	0	0	67228	0.06	0	0	0.06	0	0.00	0	0.00	NA	NA	67228
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provider Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	Sub Total (B)(1)		114	22020127	0	0	22020127	20.94	0	0	20.94	0	0.00	0	0.00	NA	NA	22020127
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	Individual shareholders holding nominal share capital up to Rs.2 lakhs		35936	21562516	0	0	21562516	20.50	0	0	20.50	0	0.00	0	0.00	NA	NA	20469358
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		16	17034990	0	0	17034990	16.20	0	0	16.20	0	0.00	0	0.00	NA	NA	17034990
	HEMENDRA M KOTHARI	AABOP68771F	1	10660026	0	0	10660026	10.14	0	0	10.14	0	0.00	0	0.00	NA	NA	10660026
(b)	NBFCs Registered with RBI		4	47365	0	0	47365	0.05	0	0	0.05	0	0.00	0	0.00	NA	NA	47365
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding Dns)(Balancing figure)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other		1	500	0	0	500	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	500
	TRUSTS		647	588967	0	0	588967	0.56	0	0	0.56	0	0.00	0	0.00	NA	NA	588967
	NON RESIDENT INDIANS		93	94345	0	0	94345	0.09	0	0	0.09	0	0.00	0	0.00	NA	NA	94345
	CLEARING MEMBERS		3	1431500	0	0	1431500	1.36	0	0	1.36	0	0.00	0	0.00	NA	NA	1431500
	DIRECTORS AND RELATIVES		1	1181500	0	0	1181500	1.12	0	0	1.12	0	0.00	0	0.00	NA	NA	1181500
	SHRIKAR PALEM REDDY	AADPR9128H	1	424630	0	0	424630	0.40	0	0	0.40	0	0.00	0	0.00	NA	NA	424630
	NON RESIDENT INDIAN NON REPATRIABLE		447	6325814	0	0	6325814	6.02	0	0	6.02	0	0.00	0	0.00	NA	NA	6319814
	BODIES CORPORATES		1	203434	0	0	203434	0.19	0	0	0.19	0	0.00	0	0.00	NA	NA	203434
	LE P F		643	1525082	0	0	1525082	1.45	0	0	1.45	0	0.00	0	0.00	NA	NA	1525082
	H U F		38058	49239143	0	0	49239143	46.82	0	0	46.82	0	0.00	0	0.00	NA	NA	48139885
	Sub Total (B)(3)		38172	71259270	0	0	71259270	67.76	0	0	67.76	0	0.00	0	0.00	NA	NA	70160112
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)																	



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Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total shares (A+B+C2)	Number of Voting Rights held in each class of securities	No of Voting Rights	Total as a % of (A+B+C)	No of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
(1)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)
(1)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)
	(i) Custodian/DR Holder		0	0	0	0	0.00		0	0	0.00	0	0.00	0	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		1	1349875	0	0	1349875	1.28	1349875	0	1.28	0	1.28	0	NA	1349875
	SAVKARAN RAMACHANDRAN	AAAT53528E	1	1349875	0	0	1349875	1.28	1349875	0	1.28	0	1.28	0	NA	1349875
	Total Non-Promoter-Non Public Shareholding (C) =		1	1349875	0	0	1349875	1.28	1349875	0	1.28	0	1.28	0	0.00	1349875
	(C1)+(C2)															



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**Details of the shareholders acting as persons in Concert including their Shareholding:**

Name of Shareholder	Name of PAC	No of shares	Holding%
<b>Total:</b>		<b>0</b>	<b>0</b>

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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders

No of Shareholders



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